



2651

PATENT  
Attorney Docket No.: SAM-0258 *sfw*  
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jae-eun Ha                      Examiner: Not yet assigned  
Serial No.: 10/008,809                      Group Art Unit: 2651  
Filing Date: November 13, 2004  
Title: AUTOMATIC GAIN CONTROLLER FOR PREVENTING PEAKING  
IN OPTICAL DISC REPRODUCING SYSTEM AND METHOD THEREFOR

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

9-9-04  
Date

Vanessa Marakas  
Vanessa Marakas

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form PTO-1449 and copies of cited references AA-AF; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

  
\_\_\_\_\_  
Anthony P. Onello, Jr.  
Registration Number 38,572  
Attorney for Applicants

Date: September 9, 2004  
Mills & Onello, LLP  
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Boston, Massachusetts 02108  
Telephone: (617) 994-4900  
Facsimile: (617) 742-7774  
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99-01

Date \_\_\_\_\_

Vann Man

Vanessa Marakas

**Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

## INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)
  - under 37 CFR 1.97(b), or  
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
  - under 37 CFR 1.97(c) together with either:
    - a Statement under 37 CFR 1.97(e), as checked below, or
    - the \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
  - under 37 CFR 1.97(d) together with:
    - a Statement under 37 CFR 1.97(e), as checked below, and
    - the \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Jae-eun Ha  
Serial No.: 10/008,809

Statement Under 37 C.F.R. 1.97(e)

- [X] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- [ ] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- [X] Copies of cited references are enclosed.
- [ ] Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.
- [ ] The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- [ ] The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference XX is satisfied by:

- [ ] the explanation provided on the attached sheet.
- [ ] the explanation provided in the Specification.
- [ ] abstract.

It is requested the information disclosed herein be made of record in this application.

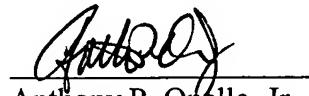
Applicant(s): Jae-eun Ha  
Serial No.: 10/008,809

Method of payment:

- Enclosed is a check in the amount of \_\_\_\_\_.
- Please charge Deposit Account of 50-1798 in the amount of \_\_\_\_\_.
- Two duplicate copies of this Statement are enclosed.
- Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: September 9, 2004  
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\_\_\_\_\_  
Anthony P. Ouello, Jr.  
Registration Number 38,572  
Attorney for Applicants

O I P E  
SEP 13 2004

Sheet 1 of 1

Subst. Form PTO-1449

INFORMATION DISCLOSURE STATEMENT  
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

SAM-0258

Application Number

10/008,809

Applicant

Jae-eun Ha

Filing Date

11/13/01

Group Art Unit

2651

## U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,682,314	07/21/87	Nakagaki, et al.	369	44	08/26/83
	AB	5,355,356	10/11/94	Johann, et al.	369	59	05/19/93
	AC	5,606,544	02/25/97	Katsuhara	369	124	04/28/95
	AD	5,699,343	12/16/97	Moritsugu, et al.	369	124	02/16/96
	AE	5,825,239	10/20/98	Adal	327	563	05/06/97
	AF	6,137,647	10/24/00	Voorman, et al.	360	67	05/11/98
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.